



International Swaps and Derivatives Association, Inc.

FOREIGN DERIVATIVES DEALER NOTICE

CANADIAN BUSINESS CONDUCT RULE

Date: September 2024

Dear Client,

This notice is being delivered to you by **NatWest Markets Plc** (“**Foreign Dealer**”) pursuant to Section 39(2)(b) of the Canadian National Instrument 93-101 Derivatives: Business Conduct (the “**Business Conduct Rule**”). The Foreign Dealer is a foreign derivatives dealer under the Business Conduct Rule and will be relying on the exemption set out in Section 39 of the Business Conduct Rule.

The Foreign Dealer hereby provides notice of the following information:

(i) The head office or principal place of business of the Foreign Dealer is located in the following foreign jurisdiction:

36 St Andrew Square, Edinburgh, EH2 2YB

(ii) All or substantially all of the assets of the Foreign Dealer may be situated outside of any Canadian local jurisdiction.

(iii) There may be difficulty enforcing legal rights against the Foreign Dealer because of the above.

(iv) The names and addresses of Foreign Dealer’s agents for service of process in the local jurisdiction are set out in Annex A hereto.

Sincerely,

NatWest Markets Plc

ANNEX A – AGENTS FOR SERVICE OF PROCESS

The names and addresses of Foreign Dealer’s agents for service of process are set out below by Province:

Jurisdiction	Agent	Address
Alberta	152928 Canada Inc.	c/o Stikeman Elliott LLP 4200 Bankers Hall West 888 - 3 Street SW Calgary, Alberta T2P 5C5
British Columbia	152928 Canada Inc.	c/o Stikeman Elliott LLP 666 Burrard Street Suite 1700, Park Place Vancouver, British Columbia V6C 2X8
Ontario	152928 Canada Inc.	c/o Stikeman Elliott LLP 199 Bay Street, Suite 5300, Commerce Court West Toronto, Ontario, M5L 1B9
Québec	152928 Canada Inc.	c/o Stikeman Elliott LLP 1155 René-Lévesque Blvd. West, 41 st Floor Montréal, QC H3B 3V2
Manitoba	MLT Aikins LLP	360 Main Street 30th Floor Winnipeg, Manitoba R3C 4G1
New Brunswick	Stewart McKelvey	Stewart McKelvey Suite 1000, Brunswick House 44 Chipman Hill Saint John, New Brunswick E2L 2A9
Newfoundland and Labrador	Stewart McKelvey	Stewart McKelvey Suite 1100, Cabot Place 100 New Gower Street PO Box 5038 St. John’s, Newfoundland A1C 5V3
Nova Scotia	Stewart McKelvey	Stewart McKelvey Queen’s Marque 600 – 1741 Lower Water Street Halifax Nova Scotia B3J 0J2
Prince Edward Island	Stewart McKelvey	Stewart McKelvey 65 Grafton Street PO Box 2140 Charlottetown, Prince Edward Island C1A 8B9
Saskatchewan	McDougall Gauley LLP	1500 1881 Scarth Street Regina, Saskatchewan S4P 4K9