

Non ISA Application Form

Please select from one of the following:

Lump Sum

Regular Saver Investment

Combination of lump sum and regular saver

How we will use your information

Before continuing with this application, please read the information which explains how we and others will use your personal and financial information during this application process. When we use and share personal and financial information, we do so on the basis that we have a legitimate interest to prevent fraud and money laundering, to manage our risk and to protect our business and to comply with laws that apply to us (including verifying your identity and assessing the suitability of our products). For full details about how we use the personal and financial information of our customers, please see our full Privacy Notice at www.natwest.com/privacy

Who we are

The organisation responsible for processing your personal and financial information is RBS Collective Investment Funds Limited, a member of NatWest Group. Application Instructions • Please note – when filling out this form please use the tab and arrow keys to move between the relevant fields.

Application Instructions

- If you are investing a lump sum, you should enclose a cheque made payable to RBS Collective Investment Funds Limited, and send it to RBS Collective Investment Funds Limited, PO Box 9908, Chelmsford CM99 2AF. The cheque must be drawn in sterling on a UK bank or building society account. Cheques issued by your bank or building society should be certified by them with your name as account holder.
- If you would like to make regular contributions by Direct Debit please complete the Direct Debit mandate.

1. Personal details

Your Investment Funds Account Number

Please note – We will be unable to process this application if you do not have an existing Account Number. You can find your Account Number within your latest Investment Statement.

Title Mr / Mrs / Miss / Ms / Other
(Please specify)

First name / Middle name(s)

Surname / Company Name

Address 1

Address 2

Address 3

Address line 4 OR
overseas country

Post code

Telephone Number

Date of Birth

2. Investment Details

Fund Name (s)

Amount to be invested
(Min £500 per fund)

Tick if income is to be paid out

If you wish to have income paid out to you, you must complete the Direct Credit information below.

Income payments

Only complete this section if you wish to receive income payments. If you do not complete this section and you have opted to receive income, your investment will automatically be set to reinvest. Income will only be paid by Direct Credit to your UK bank or building society account. Income will be paid in relation to the sums transferred to us from the date when we receive the transfer. If you wish to take income at a later date, please contact us.

Full name and address of UK
bank / building society

Bank / Building Society name

Address line 1

Address line 2

Address line 3

Address line 4

Post code

3. How we will share your information

By continuing with this application, you confirm that you have read and understood how we may use your information in the ways described above and are happy to proceed.

- a) Credit reference and fraud prevention agencies. We may request information about you from credit reference agencies to help verify your identity to comply with laws that apply to us. This request will not affect your ability to obtain credit (for example for a loan or credit card) in the future.

Application decisions may be taken based on solely automated checks of information from credit reference agencies and internal NatWest Group records. You have rights in relation to automated decision making. If you want to know more please see our full privacy notice at www.natwest.com/privacy or contact us at 03457 888 444, +44 3457 888 444 (for overseas) or 0800 404 6161 (for minicom users).

- b) With other NatWest Group companies.

We and other NatWest Group companies worldwide will use the information you supply in this application (and any information we or other NatWest Group companies may already hold about you) in connection with processing your application and assess your suitability for our products

If your application is declined, we will normally keep your information for up to 5 years, but we may keep it for longer if required by us or other NatWest Group companies in order to comply with legal and regulatory requirements.

We and other NatWest Group companies may use your information in order to improve the relevance of our products and marketing

c) With other Third Parties

The information provided in this application may be used for compliance with legal and regulatory screening requirements, including confirming your eligibility to hold a UK bank account and sanctions screening.

We may be required to disclose certain information to regulators, government bodies and similar organisations around the world, including the name, address, tax number, account number(s), total gross amount of interest paid or credited to the account and the balance or value of the account(s) of our customers to HM Revenue and Customs ('HMRC'). HMRC may exchange this information with other countries' tax authorities.

4. Confirming Your Agreement

By continuing with this application, you confirm that you have read and understood how we may use your information in the ways described above and are happy to proceed.

5. Marketing Information

NatWest Group would like to keep you informed by letter, phone, email and text message about products, services and offers that we believe may be of interest to you

If you do not wish us to contact you for these purposes, please place a cross in the box. NatWest Group will not share your information with third parties for their own marketing purposes

6. Communications about your Account

Notwithstanding your marketing choices above, we will contact you with information relevant to the operation and maintenance of your account by a variety of means including online banking, mobile banking, email, text message, post and/or telephone.

Money Laundering Regulations 2007 Under these regulations we are obliged to verify your identity. In addition, we also require to verify your address. This verification is to assist in combating financial crime and protect you from criminals who might otherwise falsely use your name without your knowledge. Where a Financial Adviser or NatWest Representative is involved they will let you know what evidence you need to show.

If you are applying to us direct we will verify your identity with a third party identity verification company.

In certain circumstances you may be required to provide further evidence of your identity in which case NatWest Group Collective Investment Funds Limited will contact you.

If the product you are applying for allows payment by cheque and you wish to pay with a Building Society cheque or Bankers Draft, the Society or Bank must endorse the cheque with the full name of the person whose account the monies are to be drawn from.

7. Declaration and signature

I declare that:

- 1) I am 18 years of age or over;
- 2) I have read the appropriate Key Investor Information Document (KIID) and Supplementary Information Document (SID), specifically the disclosure of information on costs and charges, and will retain these for my records;
- 3) I wish to subscribe to this non-ISA investment;

I authorise Royal Bank of Scotland Collective Investment Funds Limited:

- To hold my cash subscription, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- On my written request to transfer or pay me, as the case may be, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash

A contract note confirming details of your purchase will be sent to you shortly.

I declare that the above information is true and correct to the best of my knowledge and belief and I undertake to inform Royal Bank of Scotland Collective Investment Funds Limited without delay of any changes in the information given.

Signature

Name (in full)

Date of signing

If you are visually impaired and require your documents in an alternative format
please contact us at the following address: Royal Bank of Scotland
Collective Investment Funds Limited, PO Box 9908, Chelmsford CM99 2AF